



PTO/SB/30 (09-03)

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RCE  
3621

**Request  
for  
Continued Examination (RCE)  
Transmittal**

Address to:  
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

<i>TEA</i>	<i>TM</i>	<i>Reg. No. 5601</i>	<i>Application Number</i>	10/038,987
			<i>Filing Date</i>	December 31, 2001
			<i>First Named Inventor</i>	Stephane H. Maes
			<i>Art Unit</i>	3621
			<i>Examiner Name</i>	Jalatee Worjoh
			<i>Attorney Docket Number</i>	YOR920010471US1 (8728-534)

**This is a Request for Continued Examination (RCE) under 37 CFR 1.114 of the above-identified application.**  
Request for Continued Examination (RCE) practice under 37 CFR 1.114 does not apply to any utility or plant application filed prior to June 8, 1995, or to any design application. See Instruction Sheet for RCEs (not to be submitted to the USPTO) on page 2.

1. **Submission required under 37 CFR 1.114** Note: If the RCE is proper, any previously filed unentered amendments and amendments enclosed with the RCE will be entered in the order in which they were filed unless applicant instructs otherwise. If applicant does not wish to have any previously filed unentered amendment(s) entered, applicant must request non-entry of such amendment(s).

a.  Previously submitted. If a final Office action is outstanding, any amendments filed after the final Office action may be considered as a submission even if this box is not checked.

- i.  Consider the arguments in the Appeal Brief or Rely Brief previously filed on \_\_\_\_\_  
ii.  Other \_\_\_\_\_

b.  Enclosed

- |   |  |
|---|--|
| i. <input checked="" type="checkbox"/> Amendment/Reply    | iii. <input type="checkbox"/> Information Disclosure Statement (IDS) |
| ii. <input type="checkbox"/> Affidavit(s)/ Declaration(s) | iv. <input type="checkbox"/> Other _____                             |

2. **Miscellaneous**

a.  Suspension of action on the above-identified application is requested under 37 CFR 1.103(c) for a

period of \_\_\_\_\_ months. (Period of suspension shall not exceed 3 months; Fee under 37 CFR 1.17(i) required)

b.  Other \_\_\_\_\_

3. **Fees** The RCE fee under 37 CFR 1.17(e) is required by 37 CFR 1.114 when the RCE is filed.

The Director is hereby authorized to charge the following fees, or credit any overpayments, to  
a.  Deposit Account No. 50-0510/IBM (Yorktown Heights)

i.  RCE fee required under 37 CFR 1.17(e) 05/12/2005 AWONDAF1 00000046 500510 10038987

ii.  Extension of time fee (37 CFR 1.136 and 1.17) 01 FC:1801 790.00 DA

iii.  Other \_\_\_\_\_

b.  Check in the amount of \$ \_\_\_\_\_ enclosed

c.  Payment by credit card (Form PTO-2038 enclosed)

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED**

Name (Print/Type)	Frank V. DeRosa	Registration No. (Attorney/Agent)	43,584
Signature		Date	May 9, 2005

**CERTIFICATE OF MAILING OR TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop RCE, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 or facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below.

Name (Print/Type)	Frank V. DeRosa	Date	May 9, 2005
Signature			

This collection of information is required by 37 CFR 1.114. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop RCE, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



Attorney Docket No.: YOR920010471US1 (8728-534)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANT(S): Stephane Maes

Examiner: Worjloh, Jalatee

SERIAL NO.: 10/038,987

Group Art Unit: 3621

FILED: December 31, 2001

Dated: May 9, 2005

FOR: SYSTEM AND METHOD FOR CONFIRMING ELECTRONIC  
TRANSACTIONS

Mail Stop RCE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**AMENDMENT TRANSMITTAL FORM**

Sir:

Transmitted herewith is an amendment in the above-identified application.

- [ ] Small entity status of this application under 37 C.F.R. §§1.9 and 1.27 has been established by a verified statement previously submitted.
- [ ] A verified statement to establish small entity under 37 C.F.R. §§1.9 and 1.27 is enclosed.
- [X] No additional fee is required.

For	Claims Remaining After Amendment	Highest No. Previously Paid For	Present Extra	Rate (Small Entity)	Addit. Fee	Rate	Addit. Fee
TOTAL CLAIMS*	27	33	0	x 25 =	\$0	x 50 =	\$0
INDEPENDENT CLAIMS	2	4	0	x 100 =	\$0	x 200 =	\$0
□ First Presentation of Multiple Dep. Claim				180		360	\$0

\* If the entry in Col. 1 is less than entry in Col. 2, write "0" in Col. 3.

\*\* If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

\*\*\* If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For" (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

**CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on May 9, 2005.

Dated: May 9, 2005

Frank V. DeRosa

- Please charge Deposit Account No. 50-0510/IBM (Yorktown Heights) in the amount of \$\_\_\_\_\_. Two (2) copies of this sheet are enclosed.
- A check in the amount of \$\_\_\_\_\_ is enclosed.
- Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. §§1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 50-0510/IBM (Yorktown Heights). Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 50-0510/IBM (Yorktown Heights) therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

F. CHAU & ASSOCIATES, LLC  
130 Woodbury Road  
Woodbury, NY 11797  
(516) 692-8888

Respectfully submitted,



Frank V. DeRosa  
Reg No. 43,584  
Attorney for Applicant(s)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**Applicant:** S. Maes

**Examiner:** Worjloh, Jalatee

**Serial No.:** 10/038,987

**Group Art Unit:** 3621

**Filed:** December 31, 2001

**Docket:** YOR920010471US1 (8728-534)

**For:** SYSTEM AND METHOD FOR CONFIRMING ELECTRONIC TRANSACTIONS

**To:** Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA. 22313

**AMENDMENT**

This is a response to the Final Office Action mailed on February 9, 2005. Applicant has filed an RCE (request for continued examination) herewith. Please amend the claims as follows:

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**CERTIFICATE OF MAILING 37 C.F.R. § 1.8(a)**

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Date: May 9, 2005

  
Frank DeRosa